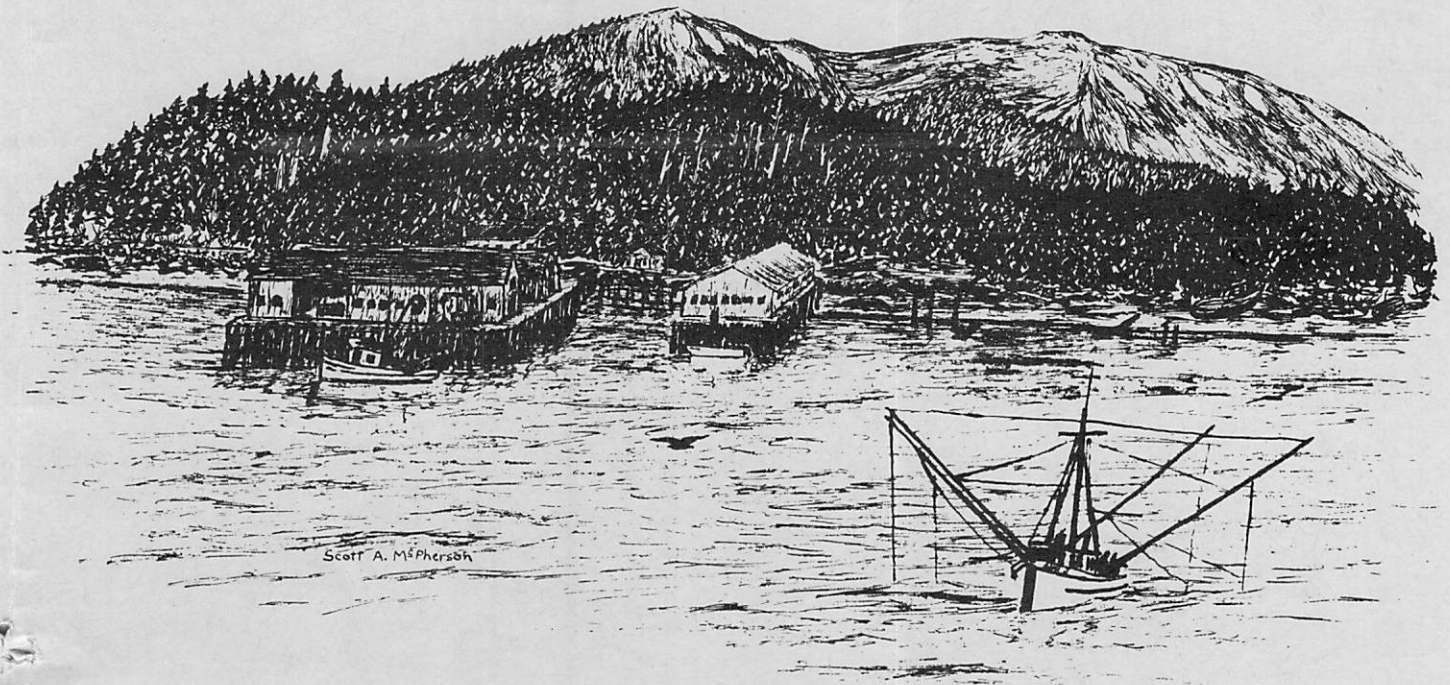


Timothy

1978 Annual Report

**Commercial Fisheries
Entry Commission**



Scott A. M'Pherson

State of Alaska

1978 ANNUAL REPORT
ALASKA COMMERCIAL FISHERIES ENTRY COMMISSION
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APRIL 1979

ANNUAL REPORT

Introduction

This annual report submitted by the Commercial Fisheries Entry Commission (CFEC) addresses four categories of Commission activity during the 1978 calendar year. These areas are: (1) the continuing administration of the limited entry law (AS 16.43) in Alaskan fisheries; (2) court decisions handed down and cases pending involving the Commission; (3) CFEC work with the North Pacific Fisheries Management Council (NPFMC); and (4) legislative recommendations.

LIMITED ENTRY FOR 1978

1978 Commercial Fishing Licensing

Nineteen seventy-eight was the first year of combined licensing, enabling commercial fishermen to purchase a single permit from CFEC to operate gear in a commercial fishery. In addition, 1978 was the first year that vessel licenses were issued through the CFEC offices in Juneau. Considering the fundamental nature of these changes, problems encountered during the first year were relatively minor.

During 1978, the Commission issued 23,799 entry permits and interim-use permits, resulting in revenues to the General Fund of \$2,332,200. (Appendix Table 1). Also during 1978, the Commission issued approximately 14,500 vessel licenses, generating revenues of \$290,180 to the General Fund.

Hearings

Hearings on pending permit applications are progressing as quickly as possible given the time required to research each applicant's file as well as the travel required to hold hearings in rural areas. (Appendix Table 2). In 1978, Commission hearing officers held over 200 hearings in virtually all urban and rural areas of the state to ensure that fishermen received a hearing near their homes. Some 400 hearings are presently pending before the Commission hearing officers, while some 40 additional cases are pending before the Commission for final decisions.

New Limited Entry Fisheries in 1978

During 1978, the Southeastern herring gill net fishery was brought under limited entry. The decision to limit entry into this fishery was based upon recommendations from fishermen and the Department of Fish and Game, as well as research conducted by CFEC staff in 1977.

The Commission held preliminary hearings in Ketchikan, Petersburg and Juneau in November of 1977. The purpose of these meetings was to get testimony on a proposed ranking system for entry permit applicants. Regulations for the Southeastern herring gill net fishery were proposed in January of 1978, followed by public hearings in Ketchikan, Wrangell, and Petersburg in February.

Applications for entry permits in the Southeastern herring gill net fishery were received from 161 individuals. Processing of these applications will continue into early 1979, and at least a preliminary determination of applicants' qualifications will be made prior to the 1979 season.

A maximum number of 110 entry permits has been adopted for this fishery.

During 1978, levels of participation in currently unlimited fisheries were monitored through the interim-use permit system, the commercial license file, landings data, and economic research where necessary.

The Salmon Hand Troll Fishery

The hand troll fishery in Southeast Alaska, currently the only unlimited commercial salmon fishery, has experienced rapid growth in recent years. The number of permits issued and fished in the last four years is listed in Table 1.

Table 1. Salmon Hand Troll Permits Issued and Permits Fished, 1975-1978.

<u>Year</u>	<u>Permits Issued</u>	<u>Permits Fished</u>
1975	2090	1086
1976	2084	1237
1977	2950	1849
1978	3910	2604

Before the 1978 fishing season, the Commission and the Department of Fish and Game held hearings in Angoon, Hoonah, Juneau, Ketchikan, Petersburg, Sitka, and Wrangell to discuss the possibility of limiting the hand troll fishery. However, most people opposed limiting entry and the fishery remained open in 1978. During 1978, the number of handtrollers as well as the hand troll catch increased substantially, which caused the Board of Fisheries to adopt several new regulations for the 1979 season. Several hand troll organizations, ADF&G, the Alaska Board of Fisheries, and the CFEC have agreed to work towards the development of a hand troll entry limitation program. To facilitate this task, the Commission is conducting further economic research, and will hold public meetings during 1979 to discuss a proposed qualification system.

Permit Transfers

Since 1975 the Commission has conducted a voluntary survey of all fishermen transferring or acquiring permits. (Copies of the questionnaires used in 1978 and tabulated results are included in the Appendix). The purpose of the survey is to monitor permit prices and analyze transfer trends. In 1978 the Legislature authorized a permit loan program through the Department of Commerce which enables five-year residents of Alaska to borrow up to 75% of the appraised value toward the purchase of a permit. Appraisals are computed on a quarterly basis from the prices supplied by fishermen acquiring

permits. (A summary of 1978 appraised values is attached in Appendix Table 4). In addition to the price survey, the Commission maintains a computer record of all transfer transactions. Table 6 shows the number of permits involved in permanent transfers as well as the number of permanent transfer transactions. In some cases, the number of transactions is larger than the number of permits transferred because some permits are transferred more than once.

Optimum Numbers of Permits

Work to determine the optimum number of entry permits in presently limited fisheries continued in 1978. According to AS 16.43.290, the optimum number of entry permits is the number which will: (1) maintain an economically healthy fishery that will result in a reasonable average rate of return to participants; (2) promote an orderly and efficient commercial harvest consistent with sound fishery management techniques; and (3) avoid serious economic hardship to those currently participating in the fishery, considering alternative economic opportunities reasonably available to them.

Work on the economic optimum numbers in 1978 included completion of operating cost studies for the Prince William Sound, Cook Inlet, and Bristol Bay drift gill net fisheries. The research staff is working with Regional Planning Teams (RPT) in these areas to coordinate CFEC and RPT actions. A buy-back study group in Cordova met three times in 1978 to discuss an economic optimum number framework as well as the potential structure of a buy-back program in Prince William Sound.

The determination of economic optimum numbers is complicated by the extreme uncertainty of the impacts of public and private enhancement programs on the various commercial fisheries of the state. As the D-2 lands issue is settled and more enhancement facilities begin production, reliable predictions as to the increased catches resulting from enhancement projects should become available. Failure to include this information could result in significant underestimation of the optimum number of permits in the Prince William Sound salmon fisheries.

A framework for determining management optimum numbers of permits has been established by CFEC and ADF&G personnel. The management optimum number is to be a range bounded by: (1) the minimum amount of gear necessary to harvest the largest run expected in the next ten years; and (2) the maximum amount of gear allowable to maintain conventional management practices during a low average run. In both cases, the assumption is made that time and area closures will remain constant. During 1978 a draft of management optimum numbers was submitted for some fisheries, and work on this project is continuing in 1979.

Data Information Requests

Throughout 1978, the Commission received and responded to 239 data requests. Of this total, 39 (16%) were fulfilled by the research section, 22 relating to permit prices and 17 involving special studies. The data processing section fulfilled the remaining 200 (84%) requests, including

102 alphabetical listings of fishery participants, 35 requests for mailing labels, 20 requests for vessel listings, 17 requests for permit status lists, and 26 miscellaneous computer listings. The above totals do not include information requests which were handled by telephone.

LITIGATION

Several major law suits are pending as of the time this report is prepared, many of which could have a substantial impact on the limited entry program.

In Wassillie v. Adasiak, a class of individuals who failed to apply for an entry permit in 1975 are seeking the opportunity to apply late on the grounds that the Commission failed to give the notice that due process would require and that the Commission failed to comply with a consent agreement that required an affirmative action application assistance program in 1975. The consent agreement required that the Commission make "all reasonable efforts" to notify individuals of their right to apply for an entry permit and of the availability of application completion assistance. The Wassillie suit further questions whether the application deadline (May 18, 1975) bore a fair and substantial relationship to the purposes of the limited entry law.

In January, 1979, the Superior Court in Anchorage ruled that the consent agreement had been complied with, that any constitutional due process requirements of notice had been met, and that the application deadline did not violate any constitutional standards. A written decision is yet to be forthcoming, and consequently it is not yet known if the plaintiffs will appeal the ruling.

A second major case is the Commercial Fisheries Entry Commission v. Apokedak, which was decided by the Superior Court in Anchorage in December, 1978. This judgement struck down significant portions of the limited entry law and regulations, and is therefore being appealed by the State to the Alaska Supreme Court.

The Court in Apokedak ruled that the Commission may not use the gear license in either of the following critical ways:

- 1) the holding of a gear license as a prerequisite to making application for an entry permit; and
- 2) the holding of a gear license in determining an applicant's qualifications for an entry permit.

The gear license was used for each of the above processes because the limited entry law limits the number of gear operators by requiring that they hold an entry permit; in the past gear operators had to have a gear license, and thus the individuals excluded from their past occupations if they did not receive a permit were people who had fished as gear license holders. It was therefore the legislative judgement that applications need only be accepted from those who fished as gear license holders, and that the qualifications of applicants should be based upon participation as a gear license holder. (AS 16.43.250-260).

The effect of the gear license requirement was to prevent qualification by crewmen and others who wanted to move up to the status of the gear operator. The Apokedak decision would seem to allow crewmen to apply for and qualify for permits on the same basis as did the gear operators.

Another law suit challenges the decision of the Commission to limit entry into Upper Yukon commercial fisheries. The Superior Court in Bethel decided in the Charlie v. Commercial Fisheries Entry Commission case that the Commission's decision was proper under the law. It is not yet known if the plaintiffs will seek Alaska Supreme Court review of this decision.

A total of some 70 judicial appeals or lawsuits involving the limited entry law are presently in the Alaska courts. A large majority of these involve issues related to either the constitutionality of using the gear license or to the legality of the various application deadlines that have been established. Most should therefore be resolved at the time that the Alaska Supreme Court has ruled on each of these two critical considerations.

NORTH PACIFIC FISHERIES MANAGEMENT COUNCIL

The Commission staff cooperated with the North Pacific Fisheries Management Council (NPFMC) in two areas. Catch and earnings data were provided to be included in the fishery management plans developed by the NPFMC. The two management plans to which CFEC contributed the most data were the Bering Sea/Aleutians Groundfish Plan and the High Seas Salmon Plan. The Commission also provided draft limited entry sections for management plans where requested. Limited entry sections were requested for both the High Seas Salmon Plan and the Bering Sea/Aleutians Groundfish Plan.

1979 LEGISLATIVE RECOMMENDATIONS

The Commission supports these bills which are before the legislature:

(1) House Bill 48 amending the limited entry law (AS 16.43) to allow the Commission to issue special harvest area entry permits to holders of private, nonprofit hatchery permits issued by ADF&G. This legislation would allow hatchery operators to harvest returning fish in an efficient manner with gear authorized by the Board of Fisheries in special harvest areas.

(2) Senate Bill 51 repealing and re-enacting the section of the Fish and Game Code pertaining to the confidential nature of certain fishermen's and processor's records. Currently the Departments of Fish and Game, Public Safety, Revenue, and the CFEC are the only agencies authorized to obtain these records. SB 51 enables the North

Pacific Fisheries Management Council, the National Marine Fisheries Service, the University of Alaska, and the Department of Commerce and Economic Development to obtain reports which do not identify individual fishermen, buyers, or processors. This bill would speed research and statistical work by these agencies, and still maintain the confidential nature of individual records. It also allows the release of fish ticket information to the person who made the landings.

(3) Senate Bill 52 amending the definition of vessel in the Fish and Game Code to specifically exclude aircraft from that definition. If passed, float plane operators would not have to obtain vessel licenses from CFEC, which is believed to be an unnecessary and unintended requirement.

(4) House Bills 141 and 142 establish a legislative committee to study the limited entry law with the intention to report back to the legislature in 1980 with any appropriate legislative changes. The Committee will have the opportunity to examine three broad areas that the Commission believes should be reviewed at this time:

- a review of the goals of our limited entry legislation, including an analysis of whether those goals are being achieved under the present statutory structure;

- a review of the transferability provisions of the law including an analysis of the alternatives that might be available for allowing new entry into a fishery under limited entry;

- a consideration of various classes of individuals who have not qualified for entry permits under the existing law and regulations to determine if the law should be amended to allow issuance of permits to them.

Creation of the legislative committee under HB 141 and 142 will ensure an independent appraisal of the issues. The Commission believes that an objective and independent analysis of the limited entry law will only serve to strengthen the law and in turn the fishing industry in Alaska. The Commission is fully committed to assisting the committee in any manner possible.

Appendix Table 1. - Permits issued and licensing fees received for 1978 ^{1/}. The number of commercial fishing permits (in parentheses) and fees paid are organized by species -- all gear types are combined.

Type of Fish	Type of Fee			Total	
	Resident	Nonresident	Poverty ^{2/}	Number	Dollars
Herring	115,360 (1,911)	57,360 (323)	2,220 (148)	2,382	\$ 174,940
Bottomfish	43,760 (532)	18,960 (50)	900 (60)	642	63,620
Salmon	598,000 (10,275)	537,000 (2,308)	21,510 (1,434)	14,017	1,156,510
Halibut	175,160 (2,724)	48,360 (169)	2,880 (192)	3,085	226,400
Shellfish	347,280 (2,658)	354,120 (643)	2,475 (165)	3,466	703,875
Freshwater Fish	1,000 (26)	0- -0-	345 (23)	49	1,345
Miscellaneous	3,440 (124)	1,980 (28)	90 (6)	158	5,510
TOTAL:				23,799	2,332,200

^{1/} These figures include all sales from January 1, 1978-January 4, 1979.

^{2/} AS 16.43.160(c) provides for the issuance of permits at reduced fees for those people whose net family income falls within the poverty guidelines established by the Federal Social Security Administration.

Appendix Table 2. - Disposition of permits in limited fisheries from beginning of application process through February 9, 1979.

Fishery	Maximum Number	Number Permits Issued*	Number to be Issued	Number of Pending Applications			No. Applications Denied Due to:		
				Classifications	New Evidence	Remaining Hearings	Judicial Appeal	Late Application	Ineligibility and Inadequate Points
<u>SALMON</u>									
Southeastern:									
Purse Seine	395	412	0	0	5	20	3	3	134
Drift Gill Net	453	463	0	0	7	43	1	10	238
Power Troll	950	934	16	22 at 18 points	13	53	2	12	425
Yakutat:									
Set Gill Net	150	161	0	0	7	8	0	3	25
Prince William Sound:									
Purse Seine	258	257	1	5 at 16 points	6	15	0	10	75
Drift Gill Net	511	528	0	0	1	29	5	8	113
Set Gill Net	32	27	5	0	1	3	0	0	6
Cook Inlet:									
Purse Seine	68	74	0	0	2	11	0	1	35
Drift Gill Net	545	550	0	0	13	43	7	27	175
Set Gill Net	686	743	0	0	3	9	0	8	82
Kodiak:									
Purse Seine	368	371	0	0	5	15	1	9	131
Beach Seine	27	33	0	0	1	1	0	0	7
Set Gill Net	183	183	0	0	3	0	0	4	39
Chignik:									
Purse Seine	80	90	0	0	3	16	0	1	24

Appendix Table 2. Continued

Fishery	Maximum Number	Number Permits Issued*	Number to be Issued	Number of Pending Applications			No. Applications Denied Due to:		
				Classifications	New Evidence	Remaining Hearings	Judicial Appeal	Late Application	Ineligibility and Inadequate Points
Alaska Peninsula:									
Purse Seine	111	116	0	0	0	12	0	1	15
Drift Gill Net	155	156	0	0	3	12	1	4	25
Set Gill Net	110	108	2	0	1	12	0	5	7
Bristol Bay:									
Drift Gill Net ^{1/}	1669	1703	0	0 ^{2/}	68	81	13	80	683
Set Gill Net	803	891	0	0	21	18	2	50	132
Kuskokwim:									
Set Gill Net	810	767	43	34 at 7 points 37 at 6 points	31	12	4	15	145
Lower Yukon:									
Set Gill Net	671	691	0	0	20	5	1	7	125
Upper Yukon:									
Set Gill Net	63	47	16	4 at 8 points 2 at 7 points 11 at 6 points	4	6	3	8	32
Fishwheel	126	108	18	4 at 8 points 2 at 7 points 17 at 6 points	9	20	7	15	53
Norton Sound:									
Set Gill Net	195	175	20	19 at 9 points	7	3	0	3	67
Kotzebue:									
Set Gill Net	214	176	38	19 at 8 points 9 at 7 points 6 at 6 points 32 at 5 points	5	7	0	6	24

Appendix Table 2. Continued

Fishery	Maximum Number	Number Permits Issued*	Number to be Issued	Number of Pending Applications			No. Applications Denied Due to:		
				Classifications	New Evidence	Remaining Hearings	Judicial Appeal	Late Application	Ineligibility and Inadequate Points
<u>HERRING SAC ROE</u>									
Southeastern: Purse Seine	35	42	0	0	0	8	0	0	8
Prince William Sound: Purse Seine	55	92	0	0	0	13	0	1	17
Cook Inlet: Purse Seine	68	67	1	3 at 5 points	1	10	0	0	5
Southeastern: Set Gill Net	110	17	93	0	132	1	0	1	6
TOTALS:	9901	9982	231	226	372	486	50	292	2853

^{1/} Number of permits issued may exceed maximum number because of Isakson Decision applications and hardship determinations.

Bristol Bay drift gill net

^{2/} SO3T classifications at the sixteen (16) point level have not at this point been formally denied pending an evaluation of the maximum number.

Appendix Table 3. - This table presents the tabulated results of the Entry Commission's permit transfer survey of those people acquiring permits in 1975-1978.

Type of Gear and Area	# Permits Bought	# Permits Given Away	# Permits Traded	# Combination Sales	# Other Type Transfers	Total Number of Responses	High Permit Price	Low Permit Price	Average Permit Price	Price Most Frequently Paid for a Permit	# Permits Financed	--By Transferor	--By Processor	--By Bank	--By State Loan	--By Credit Union	--By Other Means	# Permits to Relatives	# Permits to Friends or Partners
SALMON PURSE SEINE:																			
Southeastern--1975	15	4	0	0	4	23	16,000	5,000	10,633	10,000	12	5	6	0	0	0	1	2	0
1976	9	0	1	0	0	10	15,000	6,000	9,222	10,000	5	2	2	1	0	0	0	0	0
1977	21	0	0	0	6	27	21,000	8,000	16,667	15,000	14	3	5	3	0	1	2	0	0
1978	14	1	0	2	4	21	50,000	19,000	30,929	25,000	13	3	8	2	0	0	0	2	1
Prince Wm Sd--1975	6	0	0	1	0	7	10,000	5,000	8,000	10,000	5	0	2	1	1	0	1	0	0
1976	10	0	1	1	4	16	20,000	2,000	10,700	10,000	6	2	1	3	0	0	1	2	0
1977	5	1	0	1	0	7	47,000	19,000	29,800	N/A	5	2	0	3	0	0	0	0	1
1978	9	0	3	1	0	13	30,000	20,000	24,272	25,000	4	1	0	2	0	1	0	0	0
Cook Inlet-- 1975 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1976	2	1	0	0	0	3	10,000	5,000	7,500	N/A	2	0	0	1	0	1	0	1	0
1977	4	1	0	0	1	6	20,000	2,000	10,625	N/A	2	1	0	0	1	0	0	1	0
1978	5	0	0	0	2	7	60,000	15,000	40,000	40,000	4	0	1	0	3	0	0	2	0
Kodiak-- 1975	7	2	1	0	1	11	7,500	1,000	4,571	5,000	5	2	3	0	0	0	0	0	0
1976	11	4	0	2	2	19	18,000	6,000	9,736	8,000	5	0	1	2	0	1	0	5	0
1977	18	6	1	1	6	32	36,000	1,500	17,611	20,000	7	3	0	2	0	1	1	7	0
1978	9	8	2	0	1	20	60,000	20,000	47,611	60,000	9	3	2	1	3	0	0	3	3
Chignik-- 1975	1	0	0	0	1	2	N/A	N/A	N/A	N/A	1	1	0	0	0	0	0	0	0
1976	0	1	0	0	1	2	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	1	0
1977	1	1	0	0	1	3	N/A	N/A	N/A	N/A	1	0	0	0	0	1	0	1	0
1978	0	0	0	0	1	1	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	1	0
AK Peninsula--1975	1	0	0	0	0	0	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	0	0
1976	0	2	0	0	1	3	--	--	--	--	0	0	0	0	0	0	0	1	1
1977	1	2	0	0	2	5	--	--	--	--	0	0	0	0	0	0	0	2	0
1978	3	0	0	0	2	5	30,000	7,000	15,667	N/A	2	1	0	1	0	0	0	0	0

Appendix Table J. - Continued

Type of Gear and Area	# Permits Bought	# Permits Given Away	# Permits Traded	# Combination Sales	# Other Type Transfers	Total Number of Responses	High Permit Price	Low Permit Price	Average Permit Price	Price Most Frequently Paid for a Permit	# Permits Financed	—By Transferor	—By Processor	—By Bank	—By State Loan	—By Credit Union	—By Other Means	# Permits to Relatives	# Permits to Friends or Partners
<u>SALMON BEACH SEINE:</u>																			
Kodiak-- 1977	3	0	0	0	1	4	30,000	5,000	15,000	N/A	2	2	0	0	0	0	0	0	0
1978	3	2	0	0	2	7	N/A	N/A	29,250	N/A	1	1	0	0	0	0	0	2	1
<u>SALMON DRIFT GILL NET:</u>																			
Southeastern--1975	27	3	3	1	3	37	15,000	4,000	9,211	10,000	21	10	1	7	2	0	1	1	0
1976	12	2	0	0	4	18	13,000	5,500	10,213	10,000	7	1	0	5	0	0	1	1	0
1977	21	4	2	4	5	36	25,000	8,000	16,262	15,000	17	2	2	6	3	0	4	5	0
1978	25	4	1	1	6	37	50,000	10,000	34,604	40,000	18	3	1	8	2	1	3	6	0
Prince Wm Sd--1975	9	3	1	0	0	13	5,000	500	3,089	2,500 & 5,000	6	3	0	2	0	0	1	1	0
1976	17	5	1	1	4	28	7,000	1,000	4,500	5,000	15	3	6	3	0	1	2	4	0
1977	28	3	0	2	5	38	35,000	7,000	13,750	10- 15- & 20,000	20	6	7	5	1	0	1	1	3
1978	25	6	0	0	2	33	47,050	10,000	27,742	25,000	19	3	7	4	2	1	2	6	0
Cook Inlet-- 1975	9	2	1	1	0	13	10,000	500	3,911	1- 5- & 10,000	3	2	0	1	0	0	0	0	0
1976	29	6	1	0	2	38	13,000	2,500	5,552	5,000	12	3	0	2	0	4	3	5	3
1977	25	6	0	3	7	41	25,000	1,000	9,643	10,000	14	1	4	3	0	2	4	5	1
1978	20	7	2	1	11	41	60,000	2,500	36,825	45,000	15	6	1	4	0	4	0	13	1
AK Peninsula--1975	0	0	0	0	1	1	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	0	0
1976	3	1	0	0	0	4	10,000	4,000	6,333	N/A	1	0	0	1	0	0	0	0	0
1977	7	4	0	0	3	14	12,000	10,000	10,286	10,000	5	3	0	1	0	0	1	3	2
1978	5	1	0	0	5	11	30,000	5,000	15,000	N/A	3	2	0	1	0	0	0	1	0
Bristol Bay-- 1975	16	13	0	3	3	35	2,500	500	1,166	1,000	9	4	0	3	0	0	2	2	0
1976	25	21	2	5	11	64	10,000	500	2,536	2,000	10	2	1	7	0	0	0	25	3
1977	52	19	3	11	25	110	12,000	250	6,180	10,000	40	9	7	12	0	6	6	24	3
1978	77	21	2	1	26	127	60,000	1,000	21,638	25,000	52	12	5	18	5	6	6	31	9

Appendix Table 3. - Continued

Type of Gear and Area	# Permits Bought	# Permits Given Away	# Permits Traded	# Combination Sales	# Other Type Transfers	Total Number of Responses	High Permit Price	Low Permit Price	Average Permit Price	Price Most Frequently Paid for a Permit	# Permits Financed	--By Transferor	--By Processor	--By Bank	--By State Loan	--By Credit Union	--By Other Means	# Permits to Relatives	# Permits to Friends or Partners
SALMON SET GILL NET:																			
Yakutat-- 1975	2	0	0	0	1	3	1,000	500	750	N/A	2	1	0	0	0	0	1	0	0
1976	3	7	0	0	1	11	10,000	3,000	6,000	N/A	2	0	0	1	0	0	1	6	0
1977	4	2	0	0	0	6	10,000	3,000	7,000	10,000	1	0	0	1	0	0	0	2	0
1978	5	2	0	0	2	9	15,000	5,400	10,480	10,000	2	1	0	1	0	0	0	3	0
Prince Wn Sd-- 1975 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1977 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1978	1	0	0	0	0	1	N/A	N/A	N/A	N/A	1	1	0	0	0	0	0	0	0
Cook Inlet-- 1975	6	5	0	3	2	16	2,500	2,000	2,250	2- & 2,500	6	6	0	0	0	0	0	0	0
1976	9	8	1	5	5	28	3,000	1,000	1,778	2,000	7	0	2	4	0	1	0	9	1
1977	24	9	1	5	2	41	18,500	2,000	4,821	2,500	15	4	3	1	0	7	2	9	0
1978	38	15	1	8	7	69	25,000	2,000	9,824	10,000	15	7	0	3	1	4	0	5	4
Kodiak-- 1975	5	5	0	2	2	14	11,000	1,000	5,380	1,000	5	3	0	0	0	0	2	1	0
1976	8	1	0	2	3	14	6,000	500	3,900	4- & 5,000	2	1	0	1	0	0	0	2	0
1977	5	4	0	2	1	12	15,000	2,000	6,600	5,000	4	3	0	0	0	0	1	4	0
1978	5	5	0	1	4	15	30,000	10,000	19,800	N/A	4	3	0	0	0	1	0	6	1
AK Peninsula-- 1975	0	1	0	0	1	2	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	2	0
1976	1	0	2	0	0	3	5,000	5,000	5,000	N/A	1	1	0	0	0	0	0	0	0
1977	4	0	0	0	1	5	10,000	600	5,150	N/A	1	1	0	0	0	0	0	1	0
1978	2	0	0	0	3	5	15,000	5,300	10,150	N/A	0	0	0	0	0	0	0	2	0
Bristol Bay-- 1975	0	9	0	0	0	9	--	--	--	--	0	0	0	0	0	0	0	1	0
1976	11	14	1	0	8	34	5,000	300	2,755	5,000	6	4	0	2	0	0	0	16	2
1977	16	12	0	3	9	40	5,000	100	2,694	5,000	4	1	0	1	0	0	2	12	0
1978	27	23	0	0	16	66	30,000	1,500	8,507	5-,8- & 10,000	9	3	2	2	0	2	0	26	3

Appendix Table 3. - Continued

Type of Gear and Area	# Permits Bought	# Permits Given Away	# Permits Traded	# Combination Sales	# Other Type Transfers	Total Number of Responses	High Permit Price	Low Permit Price	Average Permit Price	Price Most Frequently Paid for a Permit	# Permits Financed	--By Transferor	--By Processor	--By Bank	--By State Loan	--By Credit Union	--By Other Means	# Permits to Relatives	# Permits to Friends or Partners
SALMON POWER TROLL:																			
Southeastern--1975	46	11	0	6	2	65	10,000	300	5,303	5,000	27	10	0	14	1	0	2	2	1
1976	23	7	1	6	6	43	10,000	1,000	5,065	5,000	18	7	1	7	0	1	2	5	2
1977	58	3	3	6	5	75	15,000	1,000	8,831	10,000	25	7	1	11	1	3	2	3	0
1978	73	4	1	5	8	91	30,000	600	15,457	20,000	39	10	0	15	6	2	6	5	3
A-Y-K GILL NET:																			
Upper Yukon--1976 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1977 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1978	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Kuskokwim--1976	0	2	0	0	1	3	--	--	--	--	0	0	0	0	0	0	0	3	0
1977	0	9	0	0	2	11	--	--	--	--	0	0	0	0	0	0	0	10	0
1978	5	18	2	1	6	32	10,000	2,500	6,100	5,000	2	0	0	1	0	1	0	20	0
Kotzebue--1976	0	1	0	0	1	2	N/A	N/A	N/A	N/A	0	0	0	0	0	0	0	1	0
1977 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1978	1	2	0	0	3	6	N/A	N/A	N/A	N/A	1	0	0	1	0	0	0	3	0
Lower Yukon--1976	0	3	0	0	1	4	--	--	--	--	0	0	0	0	0	0	0	3	0
1977	2	3	0	0	0	5	1,000	100	550	N/A	0	0	0	0	0	0	0	3	0
1978	5	10	0	0	4	19	10,000	3,500	6,700	5,000	3	2	0	1	0	0	0	14	0
Norton Sound--1977 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1978	4	1	0	0	0	5	6,000	900	4,350	6,000	3	1	0	2	0	0	0	1	0
FISHWHEEL:																			
Upper Yukon--1976 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1977 ^{1/}	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--
1978	5	0	0	0	0	5	9,000	5,000	7,500	8,000	2	0	0	0	0	0	2	0	0

^{1/} Not enough data to protect individual confidentiality.

STATE OF ALASKA

JAY S. HAMMOND, GOVERNOR

A COMMERCIAL FISHERIES ENTRY COMMISSION

POUCH KB
JUNEAU, ALASKA 99811

Please submit this in connection with the Request for Transfer form you have submitted for your entry permit.

This form does not identify you, and the information on it will not be disclosed in any manner that would allow identification of you. The information will be used to monitor permit prices and transfer trends.

You are acquiring a permit for _____

1. How did you locate this permit?

- | | |
|--|--|
| <input type="checkbox"/> Relative or friend | <input type="checkbox"/> Fish processor |
| <input type="checkbox"/> Advertisement | <input type="checkbox"/> Other (explain) _____ |
| <input type="checkbox"/> Commission list of permits for transfer | |

2. Did you acquire the permit by-- (check all that apply)

- | | |
|---|--|
| <input type="checkbox"/> Sale
What was the sale price (including the approximate value of any items traded for the permit)? \$ _____ | <input type="checkbox"/> Trade
What was traded? _____ |
| <input type="checkbox"/> Gift (no money)
What is your relationship to the person you are getting the permit from? _____ | <input type="checkbox"/> Along with vessel, gear or set net site (indicate estimated value)
Vessel \$ _____
Gear \$ _____
Site \$ _____ |
| <input type="checkbox"/> Inheritance
What is your relationship to the person you are getting the permit from? _____ | <input type="checkbox"/> Other (explain)

_____ |

3. Was the sale financed yes no

If yes, was it through--

- | | |
|---|--|
| <input type="checkbox"/> The person you got the permit from | |
| <input type="checkbox"/> Bank | |
| <input type="checkbox"/> Fish Processor | <input type="checkbox"/> Credit Association |
| <input type="checkbox"/> State Loan | <input type="checkbox"/> Other (explain) _____ |

4. Do you plan to transfer the permit back to the person you acquired it from?

- Yes
 No

If you need more space to answer any of the questions, please use the back of this paper.

If you have any questions concerning the completion of the form, do not hesitate to call or write the Commission. The Commission's phone number is (907) 586-3456, and its mailing address is Pouch KB, Juneau, Alaska 99811.

Thank you for your cooperation.

01-AGLH

STATE OF ALASKA

JAY S. HAMMOND, GOVERNOR

COMMERCIAL FISHERIES ENTRY COMMISSION

POUCH KB
JUNEAU, ALASKA 99811

Please submit this in connection with the Request for Transfer form you have submitted for your entry permit.

This form does not identify you, and the information on it will not be disclosed in any manner that would allow identification of you. The information will be used to monitor permit prices and transfer trends.

You have transferred a permit for _____

1. Did you transfer the permit by-- (check all that apply)

Sale
What was the sale price (including the approximate value of any items traded for the permit)? \$ _____

Trade
What was traded? _____

Gift (no money)
What is your relationship to the person you are transferring this permit to? _____

Along with vessel, gear or set net site (indicate estimated value)
Vessel \$ _____
Gear \$ _____
Site \$ _____

Inheritance
What is your relationship to the person you are transferring this permit to? _____

Other (explain)

2. Was the sale financed yes no If yes, was it through--

My own financing
 Bank
 Fish Processor

State Loan
 Credit Association
 Other (explain) _____

3. Do you plan to get the permit back from the person to whom it was transferred?

Yes
 No

4. Why did you transfer your permit?

Poor Health
 Retirement
 Plan to seek nonfishing employment
 Plan to enter other fisheries

Permit was part of the estate of a deceased fisherman
 Financial reasons
 Other (explain) _____

If you need more space to answer any of the questions, please use the back of this paper.

If you have any questions concerning the completion of the form, do not hesitate to call or write the Commission. The Commission's phone number is (907) 586-3456, and its mailing address is Pouch KB, Juneau, Alaska 99811.

Thank you for your cooperation.

01-A6LH

01-822 Rev. 4/24/78

Appendix Table 4.

1978 QUARTERLY PERMIT PRICE INFORMATION

1st Quarter (JAN FEB MAR)
2nd Quarter (APR MAY JUN)

3rd Quarter (JUL AUG SEP)
4th Quarter (OCT NOV DEC)

The Commercial Fisheries Entry Commission provides the Department of Commerce Commercial Fishing Loan program with appraised values for entry permits. The appraised value of entry permits is calculated on quarterly basis. The sale prices for each quarter are obtained from the commission's transfer survey. These prices are averaged. No source other than the Commission's confidential survey can be accepted for permit prices. In cases where sufficient data are not available for any quarter, any new data will be averaged with data from the previous quarter. Quarterly updates will be available one (1) month after the end of the subject quarter.

Fishery	Quarter No.	High Price	Low Price	Average Price/ Appraised Value
<u>SEINE</u>				
Southeastern	1	35,000	20,000	25,833
	2	50,000	19,000	33,000
	3	insufficient data		34,000
	4	insufficient data		40,000
Prince William Sound	1	23,500	20,000	20,875
	2	30,000	25,000	27,488
	3	insufficient data		26,658
	4	35,000	27,000	31,000
Cook Inlet	1	insufficient data		
	2	40,000	15,000	31,667
	3	insufficient data		35,000
	4	insufficient data		52,500
Kodiak	1	40,000	20,000	35,000
	2	insufficient data		40,000
	3	insufficient data		60,000
	4	insufficient data		57,125
Chignik		No applicable information--call 586-3456*		
Alaska Peninsula		No applicable information--call 586-3456		
Kodiak Beach Seine	1-3	No applicable information		
	4	insufficient data		29,250

Call or write: Beth Stewart, Commercial Fisheries Entry Commission, Pouch KB,
Juneau, AK 99811.

<u>Fishery</u>	<u>Quarter No.</u>	<u>High Price</u>	<u>Low Price</u>	<u>Average Price/ Appraised Value</u>
<u>DRIFT GILL NET</u>				
Southeastern	1	42,500	10,000	32,375
	2	40,000	22,000	33,929
	3	insufficient data		35,313
	4	50,000	27,000	39,875
Prince William Sound	1	40,000	10,000	24,346
	2	30,000	18,000	23,833
	3	42,000	40,000	41,000
	4	45,000	20,000	36,250
Cook Inlet	1	42,500	25,000	30,000
	2	47,000	15,000	35,300
	3	insufficient data		37,545
	4	75,000	35,000	57,500
Alaska Peninsula		No applicable information--call 586-3456		
Bristol Bay	1	30,000	5,000	13,941
	2	50,000	12,000	22,000
	3	30,000	20,000	30,000
	4	70,000	20,000	41,867
<u>SET GILL NET</u>				
Yakutat: All 4 quarters		15,000	5,400	10,480
Prince William Sound		No applicable information--call 586-3456		
Cook Inlet	1	15,000	2,000	7,636
	2	12,000	2,500	8,625
	3	15,000	2,000	8,730
	4	25,000	10,000	14,571
Kodiak: All 4 quarters		25,000	10,000	24,667
Bristol Bay	1	5,000	2,000	3,925
	2	13,000	1,500	5,893
	3	10,000	6,500	7,833
	4	30,000	10,000	16,000
FOR ALL A-Y-K FISHERIES--CALL 586-3456 [Yukon; Kuskokwim; Kotzebue; Norton Sound]				
<u>POWER TROLL</u>				
Southeastern	1	16,000	8,500	12,341
	2	25,000	5,000	15,652
	3	25,000	18,000	20,250
	4	30,000	15,500	23,045

Appendix Table 5. - No. of permits in limited fisheries (renewed and unrenewed) both permanent and interim-use for 1978 (as of 12/31/78)

FISHERY	No. of Permits--Renewed & Unrenewed		
	Permanent	Interim-use	Total
HERRING			
Purse Seine, Southeastern Sac Roe	41	7	48
Purse Seine, Prince Wm. Sound Sac Roe	91	12	103
Purse Seine, Cook Inlet	67	12	79
Gill Net, Southeastern	10	159	169
SALMON			
Purse Seine, Southeastern	413	7	420
Purse Seine, Prince Wm. Sound	257	15	272
Purse Seine, Cook Inlet	74	9	83
Purse Seine, Kodiak	371	18	389
Purse Seine, Chignik	90	5	95
Purse Seine, Peninsula-Aleutians	115	8	123
Beach Seine, Kodiak	33	1	34
Drift Gill Net, Southeastern	463	28	491
Drift Gill Net, Prince Wm. Sound	528	16	544
Drift Gill Net, Cook Inlet	549	42	591
Drift Gill Net, Peninsula-Aleutians	156	2	158
Drift Gill Net, Bristol Bay	1,700	78	1,778
Set Gill Net, Yakutat	161	3	164
Set Gill Net, Prince Wm. Sound	28	0	28
Set Gill Net, Cook Inlet	742	5	747
Set Gill Net, Kodiak	184	4	188
Set Gill Net, Peninsula-Aleutians	108	5	113
Set Gill Net, Upper Yukon	47	24	71
Set Gill Net, Bristol Bay	889	19	908
Set Gill Net, Kuskokwim	767	74	841
Set Gill Net, Kotzebue	177	56	233
Set Gill Net, Lower Yukon	694	4	698
Set Gill Net, Norton Sound	176	23	199
Fishwheel, Upper Yukon	108	50	158
Power Troll, Southeastern	934	42	976
<hr/>			
TOTAL - Herring Permits	209	187	396
TOTAL - Salmon Permits	9,764	538	10,302

Appendix Table 6. - Actual number of permits involved in permanent transfer transactions and the total number of permanent permit transfer transactions by residence for 1978. These figures are preliminary. Some changes may occur as corrections are made on the computer file.

Fishery	Resident to Resident	Resident to Nonresident	Nonresident to Nonresident	Nonresident to Resident	Total No. of Transactions	No. Permits Involved
<u>HERRING</u>						
<u>Purse Seine:</u>						
Southeastern	1	1	0	0	2	2
Prince William Sound	7	0	0	0	7	6
Cook Inlet	7	1	1	0	9	7
<u>Set Gill Net:</u>						
Southeastern	1	0	0	0	1	1
<u>SALMON</u>						
<u>Purse Seine:</u>						
Southeastern	17	11	23	3	54	50
Prince William Sound	11	7	15	1	34	31
Cook Inlet	10	0	0	0	10	9
Kodiak	34	7	10	9	60	52
Chignik	1	1	0	1	3	3
Peninsula/Aleutians	10	0	1	1	12	12
<u>Beach Seine:</u>						
Kodiak	16	0	0	0	16	14
<u>Drift Gill Net:</u>						
Southeastern	55	2	15	5	77	67
Prince William Sound	55	7	13	6	81	68
Cook Inlet	62	5	15	3	85	81
Peninsula/Aleutians	14	5	8	0	27	25
Bristol Bay	117	26	71	14	228	210
<u>Set Gill Net:</u>						
Yakutat	14	1	5	0	20	19
Prince William Sound	3	0	1	1	5	4
Cook Inlet	113	6	4	6	129	120

Appendix Table 6. - Continued

Fishery	Resident to Resident	Resident to Nonresident	Nonresident to Nonresident	Nonresident to Resident	Total No. of Transactions	No. Permits Involved
<u>Set Gill Net (cont.):</u>						
Kodiak	14	3	3	6	26	24
Peninsula/Aleutians	9	1	2	2	14	13
Bristol Bay	83	10	24	14	131	124
<u>A-Y-K Gill Net:</u>						
Upper Yukon	2	0	0	0	2	2
Kuskokwim	50	0	0	0	50	47
Kotzebue	17	0	0	0	17	17
Lower Yukon	24	1	0	0	25	24
Norton Sound	7	0	0	0	7	7
<u>A-Y-K Fishwheel:</u>						
Upper Yukon	11	0	0	0	11	10
<u>Power Troll:</u>						
Southeastern	85	11	21	23	140	126